

REMARKS

All of the claims have been rejected under 35 U.S.C. §102(e) as being anticipated by O'Shaughnessy. All of the claims have been amended to fully distinguish the invention from O'Shaughnessy. O'Shaughnessy basically provides a portfolio management program which makes available financial information concerning the stock of a particular company. The user can then make a decision as to whether to buy or reject any stock in the list for his or her portfolio. This invention is basically an on line trading program which supplies financial information where the user can make a decision based upon that information.

On the other hand, an Applicant has devised a program that only supplies non-financial information concerning an investment fund to a user. The Applicant does not provide information on individual stocks of companies. The Applicant does not provide a program to trade those stocks. The Applicant provides information concerning whether a fund invests in stocks of companies which engage in certain activities which conflict with or support values that the investor may have. Attached as Exhibit A is a screening report generated by Applicant's method. Ten different activities to which many investors might object or prefer are listed. They run from abortion through affirmative action, same sex lifestyles to tobacco. This report lists the extent of involvement of an investment fund in a particular activity.

The claims have been amended to fully delineate and distinguish between Applicant's invention and the O'Shaughnessy patent.

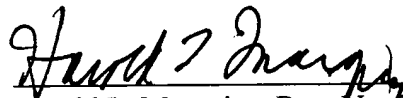
Claims 4, and 6-8 have been amended to overcome the rejection based upon 35 U.S.C. §112. These claims now are clearly apparatus claims.

CONCLUSION

It is believed that the Amendments to the claims made by this Preliminary Amendment and the arguments advanced make all the claims allowable over *O'Shaughnessy*.

If the Examiner has any questions, he is invited to telephone the undersigned.

Respectfully submitted,

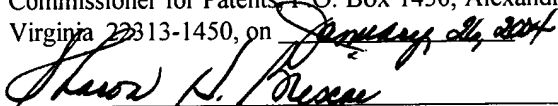


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Signature – Sharon H. Briscoe